

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2001

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2001 calendar year, OR tax year beginning 6/1/2001, and ending 5/31/2002

- B** Check if applicable:
- Address change
 - Name change
 - Initial return
 - Final return
 - Amended return
 - Application pending

Please use IRS label or print or type. See Specific Instructions.	C Name of organization SANTA CLARA VALLEY AUDUBON SOCIETY		D Employer identification number 94-6081420	
	Number and street (or P.O. box if mail is not delivered to street address) 22221 McClellan Road		Room/suite	E Telephone number 408-252-3747
	City or town Cupertino	State or country CA	ZIP + 4 950144030	F Accounting method <input type="checkbox"/> Other (specify) <input checked="" type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

- H and I are not applicable to section 527 organizations
- H(a)** Is this a group return for affiliates? Yes No
- H(b)** If "Yes" enter number of affiliates _____
- H(c)** Are all affiliates included? Yes No (If "No" attach a list. See instructions.)
- H(d)** Is this a separate return filed by an organization covered by a group ruling? Yes No
- I** Enter 4-digit GEN _____

G Web site _____

J Organization type (check only one) 501(c)(3) (insert no. 4947(a)(1) or 527

K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

L Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12 **203,904**

M Check if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF)

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

	1 Contributions, gifts, grants, and similar amounts received			
	a Direct public support	1a	133,228	
	b Indirect public support	1b	3,507	
	c Government contributions (grants)	1c		
	d Total (add lines 1a through 1c) (cash \$ <u>134,322</u> noncash \$ <u>2,413</u>)	1d		136,735
	2 Program service revenue including government fees and contracts (from Part VII, line 93)	2		4,469
	3 Membership dues and assessments	3		
	4 Interest on savings and temporary cash investments	4		4,619
	5 Dividends and interest from securities	5		24,962
	6a Gross rents	6a		
	b Less rental expenses	6b		
	c Net rental income or (loss) (subtract line 6b from line 6a)	6c		0
	7 Other investment income (describe: Unrealized Loss on Securities)	7		-33,811
REVENUE	8a Gross amount from sales of assets other than inventory	(A) Securities		
		8a	26,673	
		8b	28,701	
		8c	-2,028	0
	d Net gain or (loss) (combine line 8c, columns (A) and (B))	8d		-2,028
	9a Special events and activities (attach schedule)	9a		
	a Gross revenue (not including contributions reported on line 1a)	9a		
	b Less direct expenses other than fundraising expenses	9b		
	c Net income or (loss) from special events (subtract line 9b from line 9a)	9c		0
	10a Gross sales of inventory, less returns and allowances	10a	40,150	
	b Less cost of goods sold	10b	22,002	
	c Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c		18,148
	11 Other revenue (from Part VII, line 103)	11		107
	12 Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12		153,201
Expenses	13 Program services (from line 44, column (B))	13		191,374
	14 Management and general (from line 44, column (C))	14		43,482
	15 Fundraising (from line 44, column (D))	15		9,671
	16 Payments to affiliates (attach schedule)	16		
	17 Total expenses (add lines 16 and 44, column (A))	17		244,527
	18 Excess or (deficit) for the year (subtract line 17 from line 12)	18		-91,326
Net Assets	19 Net assets or fund balances at beginning of year (from line 73, column (A))	19		734,254
	20 Other changes in net assets or fund balances (attach explanation)	20		
	21 Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21		642,928

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Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 21.)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) (cash \$ <u>-1,000</u> noncash \$ _____)	22	-1,000	-1,000		
23 Specific assistance to individuals (attach schedule)	23	0			
24 Benefits paid to or for members (attach schedule)	24	0			
25 Compensation of officers, directors, etc	25	52,500	42,000	5,250	5,250
26 Other salaries and wages	26	98,657	79,814	18,843	
27 Pension plan contributions	27	0			
28 Other employee benefits	28	6,115	4,859	928	328
29 Payroll taxes	29	11,887	9,598	1,881	408
30 Professional fundraising fees	30	0			
31 Accounting fees	31	2,437	28	2,409	
32 Legal fees	32	-2,482	-2,482		
33 Supplies	33	5,266	2,202	2,753	311
34 Telephone	34	3,847	3,462	385	
35 Postage and shipping	35	8,789	5,656	1,858	1,275
36 Occupancy	36	8,111	5,734	2,376	
37 Equipment rental and maintenance	37	3,222	3,003	220	
38 Printing and publications	38	27,624	26,033		1,591
39 Travel	39	2,669	2,255	414	
40 Conferences, conventions, and meetings	40	1,276	232	1,044	
41 Interest	41	0			
42 Depreciation, depletion, etc (attach schedule)	42	4,330	975	3,355	
43 Other expenses not covered above (itemize) a other	43a	561	312	249	
b gifts, entertainment, prizes	43b	1,655	1,198		457
c workers comp insurance, directors and officers	43c	1,993	1,187	754	51
d small equipment, software, internet, web	43d	2,023	1,260	763	
e books, subscriptions, dues	43e	1,341	1,341		
f honoraria, professional fees	43f	3,707	3,707		
44 Total functional expenses (add lines 22 through 43) Organizations completing columns (B) - (D), carry these totals to lines 13 - 15	44	244,527	191,374	43,482	9,671

Joint Costs Check if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? Yes No
 If "Yes," enter (i) the aggregate amount of these joint costs \$ X, (ii) the amount allocated to Program services \$ _____,
 (iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____

Part III Statement of Program Service Accomplishments

(See Specific Instructions on page 24.)

What is the organization's primary exempt purpose? <u>Environmental Protection and Education, w emphasis on birds</u>	Program Service Expenses
All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)	(Required for 501(c)(3) and (4) orgs and 4947(a)(1) trusts, but optional for others.)
a Newsletter - The Society produces a monthly newsletter, "The Avocet" sent to over 3700 members, and second annual publication, "Creekside News" sent to about 1000 persons, libraries and local governments. These publications discuss Chapter activities and give information on local and national environmental issues with emphasis on birds and bird habitats. (Grants and allocations \$ 0)	30,947
b Environmental Education - The chapter distributes environmental workbooks to 20 elementary school class rooms. It sponsors Wildlife Education Day education events at park HQ with 300 attendees, and escorts children's group to wetlands reaching over 640 children. It lead about 80 field trips to local habitats, holds monthly meetings on birding subjects, & operates a nature shop for members. (Grants and allocations \$ 0)	54,309
c Conservation and Environmental Action - The Chapter contributes funds, volunteer services and support to a variety of environmental activities including coastal and creek cleanups, a bluebird recovery program, and burrowing owl protection. It supports environmental advocacy and the legal defense of environmental laws, particularly in respect to creek and wetland habitats. (Grants and allocations \$ -1,000)	106,118
d (Number is negative due to return of \$ 7000 prior year grant)	
(Grants and allocations \$ _____)	
e Other program services (attach schedule) (Grants and allocations \$ _____)	
f Total of Program Service Expenses (should equal line 44, column (B), Program services)	191,374

Part IV Balance Sheets

(See Specific Instructions on page 24)

Note:		Where required, attached schedules and amounts within the description column should be for end-of-year amounts only		(A)		(B)
				Beginning of year		End of year
Assets						
45	Cash - non-interest-bearing			12,944	45	6,131
46	Savings and temporary cash investments			228,497	46	70,673
47a	Accounts receivable	47a	16,330			
b	Less allowance for doubtful accounts	47b	0	509	47c	16,330
48a	Pledges receivable	48a				
b	Less allowance for doubtful accounts	48b			48c	0
49	Grants receivable				49	
50	Receivables from officers, directors, trustees, and key employees (attach schedule)				50	
51a	Other notes and loans receivable (attach schedule)	51a				
b	Less allowance for doubtful accounts	51b			51c	0
52	Inventories for sale or use			11,129	52	25,540
53	Prepaid expenses and deferred charges				53	705
54	Investments - securities (attach schedule) <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV			476,455	54	521,151
55a	Investments - land, buildings, and equipment basis	55a				
b	Less accumulated depreciation (attach schedule)	55b			55c	0
56	Investments - other (attach schedule)			0	56	0
57a	Land, buildings, and equipment basis	57a	23,892			
b	Less accumulated depreciation (attach schedule)	57b	6,431	6,733	57c	17,461
58	Other assets (describe _____)			0	58	0
59	Total assets (add lines 45 through 58) (must equal line 74)			736,267	59	657,991
Liabilities						
60	Accounts payable and accrued expenses			813	60	14,988
61	Grants payable				61	
62	Deferred revenue			1,200	62	75
63	Loans from officers, directors, trustees, and key employees (attach schedule)				63	
64a	Tax-exempt bond liabilities (attach schedule)				64a	
b	Mortgages and other notes payable (attach schedule)				64b	
65	Other liabilities (describe _____)			0	65	0
66	Total liabilities (add lines 60 through 65)			2,013	66	15,063
Net Assets or Fund Balances						
Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74						
67	Unrestricted			718,810	67	626,061
68	Temporarily restricted			15,444	68	16,867
69	Permanently restricted				69	
Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74						
70	Capital stock, trust principal, or current funds				70	
71	Paid-in or capital surplus, or land, building, and equipment fund				71	
72	Retained earnings, endowment, accumulated income, or other funds				72	
73	Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)			734,254	73	642,928
74	Total liabilities and net assets/fund balances (add lines 66 and 73)			736,267	74	657,991

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

Part IV-A Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See Specific Instructions, page 26)

a	Total revenue, gains, and other support per audited financial statements	a	153,200
b	Amounts included on line a but not on line 12, Form 990-		
(1)	Net unrealized gains on investments	\$	
(2)	Donated services and use of facilities	\$	
(3)	Recoveries of prior year grants	\$	
(4)	Other (specify)		
		\$	
	Add amounts on lines (1) thru (4)	b	0
c	Line a minus line b	c	153,200
d	Amounts included on line 12, Form 990 but not on line a-		
(1)	Investment expenses not included on line 6b, Form 990	\$	
(2)	Other (specify)		
		\$	
	Add amounts on lines (1) and (2)	d	0
e	Total revenue per line 12, Form 990 (line c plus line d)	e	153,200

Part IV-B Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

a	Total expenses and losses per audited financial statements	a	244,526
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities	\$	
(2)	Prior year adjustments reported on line 20, Form 990	\$	
(3)	Losses reported on line 20, Form 990	\$	
(4)	Other (specify)		
		\$	
	Add amounts on lines (1) thru (4)	b	0
c	Line a minus line b	c	244,526
d	Amounts included on line 17, Form 990 but not on line a-		
(1)	Investment expenses not included on line 6b, Form 990	\$	
(2)	Other (specify)		
		\$	
	Add amounts on lines (1) and (2)	d	0
e	Total expenses per line 17, Form 990 (line c plus line d)	e	244,526

Part V List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated, see Specific Instructions on page 26)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
David Drake 1711 Quail Hollow Rd, Ben Lomond, CA 95005	President 4 hours	0	0	0
Charles Preuss, 4463 Wilton Avenue, Palo Alto, CA 94306	1st Vice President 2 hours	0	0	0
Barbara Dalderis, 16384 Belmont Ct, Monte Sereno, CA 9503	Treasurer 2 hours	0	0	0
Ann Verdi, 1753 Silverwood Dr, San Jose, CA 95124	Secretary 2 hours	0	0	0
Craig Breon, 355 Portola Rd, Portola Valley CA 94028	Exec Director 40 hours	52,500	1,638	0

Did any officer, etc. receive aggregate compensation of more than 100,000 from your orgn. etc

No

Part VI Other Information (See Specific Instructions on page 27) Yes or No

76 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	76	Yes
77 Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77	Yes
78a Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	78a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	78b	
79 Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79	No
80a Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a	No
b If "Yes," enter the name of the organization _____ and check whether it is <input type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt		
81a Enter direct or indirect political expenditures See line 81 instructions	81a	
b Did the organization file Form 1120-POL for this year?	81b	No
82a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	Yes
b If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)	82b	
83a Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	Yes
b Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	Yes
84a Did the organization solicit any contributions or gifts that were not tax deductible?	84a	No
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b	
85 501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a	
b Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	85b	
c Dues, assessments, and similar amounts from members	85c	
d Section 162(e) lobbying and political expenditures	85d	
e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e	
f Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	0
g Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	85g	
h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	
86 501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12	86a	
b Gross receipts, included on line 12, for public use of club facilities	86b	
87 501(c)(12) orgs Enter a Gross income from members or shareholders	87a	
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b	
88 At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX	88	No
89a 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 <u>0</u> , section 4912 <u>0</u> , section 4955 <u>0</u>		
b 501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b	No
c Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		
d Enter Amount of tax on line 89c, above, reimbursed by the organization		
90a List the states with which a copy of this return is filed <u>California</u>		
b Number of employees employed in the pay period that includes March 12, 2001 (See instructions)	90b	4
91 The books are in care of <u>Susan Bell, Manager</u> Telephone no <u>408 252 3747</u> Located at <u>22221 McClellan Road, Cupertino, CA</u> ZIP + 4 <u>95014-4030</u>		
92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year	92	

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 32)

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(E)
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	Related or exempt function income
93 Program service revenue					
a Class and field trip participation fees					4,469
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments			14	4,619	
96 Dividends and interest from securities			14	24,962	
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income			14	-33,811	
100 Gain or (loss) from sales of assets other than inventory			14	-2,029	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					18,148
103 Other revenue a raffle proceeds			01	107	
b					
c					
d					
e					
104 Subtotal (add cols (B), (D), and (E))		0		-6,152	22,617
105 Total (add line 104, columns (B), (D), and (E))					16,465

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 32)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
03A	The Chapter gives classes on bird identification and similar environmental activities, and conducts field trips for its members to interesting bird habitats
02	The Chapter has a store in which it sells birding and other environmental items primarily to its members. Items include birding books, birdseed, clothing with environmental and birding messages, and similar instructional materials

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 33)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 33)

- a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury I declare that I have examined this return including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Please sign here: Donald R. Price Date: 9/5/2002
 Signature of officer: _____ Date: _____
 Donald R. Price, Chair Finance Committee

Date	Check if self-employed <input type="checkbox"/>	Preparer's SSN or PTIN (See Gen. Inst. W)
		EIN

Supplementary Information - (See separate instructions)

Department of the Treasury
Internal Revenue Service

MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

Name of the organization
SANTA CLARA VALLEY AUDUBON SOCIETY

Employer identification number
94-6081420

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions List each one If there are none, enter "None")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
NONE				

Total number of other employees paid over \$50,000

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part III Statements About Activities (See page 2 of the instructions)

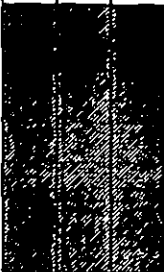
Yes No

1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities \$ 1,000 (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B)

Table with 2 columns: Yes, No. Row 1: 1, X, [blank]

Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities

2 During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions)



a Sale, exchange, or leasing of property?

Table with 2 columns: Yes, No. Row 2a: [blank], X

b Lending of money or other extension of credit?

Table with 2 columns: Yes, No. Row 2b: [blank], X

c Furnishing of goods, services, or facilities?

Table with 2 columns: Yes, No. Row 2c: [blank], X

d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?

Table with 2 columns: Yes, No. Row 2d: X, [blank]

e Transfer of any part of its income or assets?

Table with 2 columns: Yes, No. Row 2e: [blank], X

3 Does the organization make grants for scholarships, fellowships, student loans, etc? (See Note below)

Table with 2 columns: Yes, No. Row 3: [blank], X

4 Do you have a section 403(b) annuity plan for your employees?

Table with 2 columns: Yes, No. Row 4: [blank], X

Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments

Part IV Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box)

5 [] A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)

6 [] A school Section 170(b)(1)(A)(ii) (Also complete Part V)

7 [] A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)

8 [] A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)

9 [] A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state

10 [] An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the Support Schedule in Part IV-A.)

11a [X] An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A.)

11b [] A community trust Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A.)

12 [] An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions- subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the Support Schedule in Part IV-A.)

13 [] An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

Table with 2 columns: (a) Name(s) of supported organization(s), (b) Line number from above. Multiple empty rows.

14 [] An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12.) Use cash method of accounting.

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants. See line 28).	133808	56411	106286	56377	252882
16 Membership fees received	21269	20485	18272	20303	80339
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	41351	28643	28409	0	98403
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	49388	57118	65274	50858	222638
19 Net income from unrelated business activities not included in line 18	0	0	0	0	0
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf	0	0	0	0	0
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.	0	0	0	0	0
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets	2568	3624	0	0	6192
23 Total of lines 15 through 22	248384	166291	218241	127538	760454
24 Line 23 minus line 17	207033	137648	189832	127538	662051
25 Enter 1% of line 23	2484	1663	2182	1275	
26 Organizations described on lines 10 or 11: a Enter 2% of amount in column (e), line 24.					26a 13241
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a. Do not file this list with your return. Enter the total of all these excess amounts					26b 14339
c Total support for section 509(a)(1) test. Enter line 24, column (e)					26c 662051
d Add Amounts from column (e) for lines 18 222638 19 0 22 6192 26b 14339					26d 243169
e Public support (line 26c minus line 26d total)					26e 418882
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f 63%
27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." Do not file this list with your return. Enter the sum of such amounts for each year					
(2000) (1999) (1998) (1997)					
b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year					
(2000) (1999) (1998) (1997)					
c Add Amounts from column (e) for lines 15 16 17 20 21					27c
d Add Line 27a total and line 27b total					27d
e Public support (line 27c total minus line 27d total)					27e
f Total support for section 509(a)(2) test. Enter amount from line 23, column (e)					27f
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h %

28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15.

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 9 of the instructions)
 (To be completed **ONLY** by an eligible organization that filed Form 5768)

Check a if the organization belongs to an affiliated group Check b if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
(The term "expenditures" means amounts paid or incurred)			
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	
37	Total lobbying expenditures to influence a legislative body (direct lobbying)	37	
38	Total lobbying expenditures (add lines 36 and 37)	38	
39	Other exempt purpose expenditures	39	
40	Total exempt purpose expenditures (add lines 38 and 39).	40	
41	Lobbying nontaxable amount. Enter the amount from the following table— If the amount on line 40 is— The lobbying nontaxable amount is— Not over \$500,000 20% of the amount on line 40. Over \$500,000 but not over \$1,000,000 . . \$100,000 plus 15% of the excess over \$500,000 Over \$1,000,000 but not over \$1,500,000 . \$175,000 plus 10% of the excess over \$1,000,000 Over \$1,500,000 but not over \$17,000,000 . \$225,000 plus 5% of the excess over \$1,500,000 Over \$17,000,000 \$1,000,000	41	
42	Grassroots nontaxable amount (enter 25% of line 41)	42	
43	Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43	
44	Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44	

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
 See the instructions for lines 45 through 50 on page 11 of the instructions)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45	Lobbying nontaxable amount				
46	Lobbying ceiling amount (150% of line 45(e)).				
47	Total lobbying expenditures				
48	Grassroots nontaxable amount				
49	Grassroots ceiling amount (150% of line 48(e))				
50	Grassroots lobbying expenditures				

Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers	<input type="checkbox"/>	X	
b Paid staff or management (Include compensation in expenses reported on lines c through h.)	<input type="checkbox"/>	X	
c Media advertisements	<input type="checkbox"/>	X	
d Mailings to members, legislators, or the public	<input type="checkbox"/>	X	
e Publications, or published or broadcast statements	<input type="checkbox"/>	X	
f Grants to other organizations for lobbying purposes	X	<input type="checkbox"/>	1,000.00
g Direct contact with legislators, their staffs, government officials, or a legislative body	<input type="checkbox"/>	X	
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means	<input type="checkbox"/>	X	
i Total lobbying expenditures (Add lines c through h)	<input type="checkbox"/>		

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 12 of the instructions)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Table with 2 columns: Yes, No. Rows include: a Transfers from the reporting organization to a noncharitable exempt organization of (i) Cash, (ii) Other assets; b Other transactions (i) Sales or exchanges of assets with a noncharitable exempt organization, (ii) Purchases of assets from a noncharitable exempt organization, (iii) Rental of facilities, equipment, or other assets, (iv) Reimbursement arrangements, (v) Loans or loan guarantees, (vi) Performance of services or membership or fundraising solicitations; c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions and sharing arrangements.

52a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [X] No

b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.



Santa Clara Valley Audubon Society
 Year Ended May 31, 2002
 94-6081420
 Forms 990 and 990A

Schedules

Form 990	Part I, line 8a	Sales of Investments			
		Shares sold	Cost of Sales	Proceeds	Net Gain
	5/6/02 Analog Devices	22.000	976.58	779.84	-196.74
	5/2/02 Citizens Income	2061.856	22000.00	20164.95	-1835.05
	12/5/01 Kinder-Morgan	140.000	5040.00	5154.07	114.07
	5/6/02 Verizon Commun.	15.000	684.75	573.78	-110.97
			<u>28701.33</u>	<u>26672.64</u>	<u>-2028.69</u>

Form 990	Part I, line 10C	Sales of Inventory		
		Beginning Inventory June 1, 2001		11128.90
		Purchases		36412.59
		Less ending inventory, May 31, 2002		<u>-25539.65</u>
		Cost of Sales		<u>22001.84</u>
		Sales, Net of Discounts		<u>40149.54</u>
		Sales, less cost of sales		<u><u>18147.70</u></u>
		The Chapter has an environmental store selling items of an environmental and/or educational nature.		

Form 990	Part II, Line 22	Grants		
		Program for Livable and Affordable Neighborhoods		
		Return of Prior Year Grant Not Used		-7000
		Citizens for Open Space to support Santa Clara Co. Open Space Authority ballot measure		5000
		YES! on Proposition 40, a California \$ 2.1 billion parts bond issue		<u>1000</u>
		Total		<u><u>-1000</u></u>

Form 990	Part II, Line 42	Depreciation		
		Cost Basis	Depreciation	
		Office Equipment	9098	1958
		Field Equipment	9794	1062
		Furnishings	5000	1400
		Correction prior year		<u>-90</u>
			<u>23892</u>	<u>4330</u>

Form 990	Part II, Line 54	investments		
		Equities - cost basis \$ 540, shown at market		236.70
		Equity Funds - cost basis 272650.82, shown at market		292503.58
		Fixed Income Funds - cost basis 152454.07		141973.05
		Closed End Investment Companies - cost basis 84927.36		<u>86438.31</u>
		Total		<u><u>521151.64</u></u>

Form 990 Part II, Line 57	fixed assets	
	Office Equipment	9098.24
	Less Accumulated Depreciation	-3568.75
	Field Equipment	9794.47
	Less Accumulated Depreciation	-1062.67
	Office Furnishings	5000.00
	Less Accumulated Depreciation	-1800.00
	Total	<u>17461.29</u>

Form 990, Schedule A

Part III, line 2 d

Mileage reimbursement, based on actual miles was reimbursed to the Executive Director, in the amount of \$ 1,655. Other minor expenditures were also reimbursed

Form 990, Schedule A

Part IV, Line 22

Return of prior year grant to Chrs Salander for the Ulistac (Nature)

Preserve 800.00

Settlement of prior year lawsuit - reimbursement of legal expenses

1767.83

2567.83

Form 990, Schedule A

Part VI-B, Line f

The Chapter donated \$ 1,000 to Yes! on Proposition 40, a state ballot measure - The California Clean Water, Clean Air, Safe Neighborhoods Parks and Coastal Protection Bond Act of 2002.